

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
FIS920040194US1In Re Application Of: **HAINING YANG ET AL.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/711,897	10/12/2004	NYA	29371	2811	2896

Title: **METHOD AND STRUCTURE FOR IMPROVING CMOS DEVICE RELIABILITY USING COMBINATIONS OF INSULATING MATERIALS****RECEIVED**  
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JAN 17 2005

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

P10A/REV05

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**CENTRAL FAX CENTER****Payment of Fee**

JAN 17 2005

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
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Dated: January 17, 2005

Sean F. Sullivan  
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Cantor Colburn LLP  
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Bloomfield, CT 06002  
860-286-2929

cc:

P10A/REV05

Sheet 1 of 3

<b>FORM PTO 1449</b> (REV 2-32)	<b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>	<b>File No.</b> FYS920040194US1	<b>Serial No.</b> 10/711,897
		<b>Applicant(s):</b> Haining Yang et al.	
		<b>Filing Date:</b> 10/12/2004	<b>Group:</b> 2811

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	4,851,370	07/25/1989	Doklan et al.	437	225	12/28/1987
	2	5,506,169	04/09/1996	Guldi	437	70	10/20/1994
	3	5,538,916	07/23/1996	Kuroi et al.	437	72	04/20/1994
	4	5,580,815	12/03/1996	Hsu et al.	437	69	02/22/1994
	5	5,620,919	04/15/1997	Godinho et al.	438	230	03/30/1995
	6	5,633,552	05/27/1997	Lee et al.	310	311	07/10/1994
	7	5,668,403	09/16/1997	Kunikiyo	257	639	09/03/1996
	8	5,707,889	01/13/1998	Hsu et al.	437	69	05/13/1996
	9	5,891,798	04/06/1999	Doyle et al.	438	624	12/20/1996
	10	5,908,312	06/01/1999	Cheung et al.	438	287	05/28/1997
	11	5,985,737	11/16/1999	Wu	438	448	03/04/1998

**U.S. PATENT APPLICATION PUBLICATIONS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Patents, etc.)**


Examiner

Date considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 3

<b>FORM PTO 1449</b> (REV 2-32)	<b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>	<b>File No.</b> RIS920040194US1	<b>Serial No.</b> 10/711,897
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12	5,633,202	05/27/1997	Brigham et al.	438	763	06/06/1996
	13	5,847,463	12/08/1998	Trivedi et al.	257	751	08/22/1997
	14	6,040,619	03/21/2000	Wang et al.	257	649	09/25/1997
	15	6,046,494	04/04/2000	Brigham et al.	257	640	01/31/1997
	16	6,146,975	11/14/2000	Kuehne et al.	438	437	07/10/1998
	17	6,214,733 B1	04/10/2001	Sickmiller	438	691	11/17/1999
	18	6,228,777 B1	05/08/2001	Arafa et al.	438	740	06/08/1999
	19	6,261,924 B1	07/17/2001	Mandelman et al.	438	430	01/21/2000
	20	6,306,742 B1	10/23/2001	Doyle et al.	438	591	01/05/1999
	21	6,395,610 B1	05/28/2002	Roy et al.	438	354	06/20/2000

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	22	6,436,848 B1	08/20/2002	Ramkumar	438	777	03/30/1999
	23	6,476,462 B2	11/05/2002	Shimizu et al.	257	627	12/07/2000
	24	6,509,230 B1	01/21/2003	Roy et al.	438	261	06/20/2000
	25	6,515,351 B2	02/04/2003	Arafa et al.	257	644	02/28/2001
	26	6,573,172 B1	06/03/2003	En et al.	438	626	09/16/2002
	27	6,825,529 B2	11/30/2004	Chidambarrao et al.	257	336	12/12/2002

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